# **Alabama Department of Insurance**

# REQUEST FOR PROPOSALS for Professional Court Reporter Services

A C P F E	Company Name:  Address:  CityStZip: Chone No.:  Cax No.:  C-mail address: Cederal Tax ID #:			
F	Return by:	3:00 PM, CDT,	June 4, 2010	
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# **Information Index**

Type of Solicitation: Request for Proposal (RFP)
 Issuing Office: Alabama Department of Insurance

P. O. Box 303351 201 Monroe Street

Montgomery, AL 36130

**3. Responses Requested From:** Qualified Providers

**4. Type:** Professional Court Reporter Services

**Responses to RFP:** Sealed Competitive Offers **Deadline for Responses:** June 4, 2010, 3:00 PM CDT

7. Optional Pre-Response Conference Not Applicable

8. Deadline for Questions to Issuing Office

(must be written): May 10, 2010, 3:00 PM CDT Contract, if any, resulting from RFP: Contract will incorporate RFP

9. Contract, if any, resulting from RFP: Contract will incomplete.10. Additional Requirements: Not applicable

11. Term: Contract Award Date through September 30, 2012

12. Optional Terms: Not Applicable13. DOI Contact Personnel for this RFP: Kathleen Healey

Fax: (334) 240-7581

E-mail: Kathleen.Healey@insurance.alabama.gov

**14.** Faxed Responses: Not Acceptable

15. Letters of Intent to Submit a Response:

Not Applicable

16. Evaluation of Responses:

CriteriaWeightExperience and Qualifications:50 pointsCost:40 pointsClarity of Response:10 pointsTotal:100 points

# **RFP Checklist**

# Please use this checklist to verify that all required information is included with your proposal:

(Please	Please check each item as completed before submitting proposal.)			
	Page 1 – Identifying information completed, including e-mail address.			
	Page 1 – Signature of authorized individual.			
	Page 5 – Litigation against respondent is listed. (Paragraph 1.3)			
	Page 5 – Disclosure of Financial Interests form is attached to the proposal submitted to DOI and a copy mailed to the Department of Examiners of Public Accounts, 50 N. Ripley Street, Room 3201, Montgomery, Alabama 36130-2101. (Paragraph 1.4.1.)			
	Page 8 – Response to RFP is properly ac	ddressed as follows: (Paragraph 3.6.)		
	If sent by the U.S. Postal Service	Kathleen Healey Alabama Department of Insurance P.O. Box 303351 Montgomery, Alabama 36130-3351		
	If sent by other delivery service	Kathleen Healey Alabama Department of Insurance .201 Monroe Street, Suite 1700 Montgomery, AL 36104		
	Page 8 – Attachments properly indicate paragraph number from RFP. (Paragraph 3.9.)			
	Page 9 – Original and 2 copies of RFP filed with DOI. (Paragraph 4.2.1.)			
	Page 10 – Requested information included for Respondent's Contact Persons. (Paragraph 4.2.2.1.)			
	Page 11– Any conflicts of interest are disclosed on attached sheets. (Paragraph 4.2.2.5.)			
	Page 12 – Completed pricing form is attached. (Paragraph 4.3.)			

Failure to comply with all requirements may cause a response to be disqualified.

## **REQUEST FOR PROPOSALS**

#### Part I. General Information

The Alabama Department of Insurance (DOI), an agency of the State of Alabama, issues this Request for Proposals (RFP) to establish a pool of qualified individuals or firms for the services described in this RFP. DOI is soliciting responses from qualified respondents to provide the DOI professional court reporter services for administrative hearings and depositions.

**1.1.** Contract Process. DOI will review and evaluate the proposals as described in this RFP and select a pool of qualified Respondents. DOI will execute Contracts with each of these selected individuals or firms.

The basic Contract is attached to this RFP as Exhibit A. The boilerplate contract provisions/terms and conditions are not negotiable. Due to the time constraints in contracting with Respondents, firms that are unable to agree to the Contract should not submit proposals in response to this RFP. The Contract with each qualified firm will establish the rates for designated services. Respondents must commit to not-to-exceed pricing through the period ending September 30, 2012. Once a Contract is signed, this RFP is incorporated into the Contract. Please note the attached basic contract is representative only. Respondents are not expected to complete any items within the body of the basic contract.

Respondents are cautioned to read the terms and conditions and attached specifications carefully. Award will be based on Respondent's responses to these specifications. Failure to provide the required information with the RFP response will automatically disqualify the response from consideration for award in connection with this transaction.

**1.2.** Contract Cap on Compensation. When executed, the contract will include a maximum dollar amount or total dollar cap that DOI determines, in its sole discretion, is the maximum amount that Respondent may invoice to DOI. DOI may, in its sole discretion, increase this maximum by written notification to Respondent. DOI's written notification will be accomplished by DOI's issuance of a contract amendment that will reference this section. Contractor services will be provided on an asrequested or as-needed basis.

#### RFP RESPONSES MUST BE RECEIVED BEFORE THE INDICATED DEADLINE:

## **Submit Sealed Responses to one of the following:**

Mail:	Hand Delivery or Courier to:
Kathleen Healey	Kathleen Healey
Alabama Department of Insurance	Alabama Department of Insurance
P. O. Box 303351	201 Monroe Street, Suite 1700
Montgomery, AL 36130-3351	Montgomery, AL 36104

Respondents must submit an original and two (2) copies of their RFP response. Respondents must sign in ink on page 1 of this RFP in the space entitled "AUTHORIZED SIGNATURE." Failure to manually sign this space on page 1 of the RFP will automatically disqualify the response.

A response to this RFP must include all of the information requested. Any submitted responses and all attachments will be referred to in this RFP as "Proposal," "Response" or "Bid Response."

<b>1.3. Qualifications.</b> To be entitled to consideration, Respondents must have available the necessary
qualified personnel, skills, organization and facilities to fulfill all the services required under this RFP and
any resulting contract. A response received from a Respondent whose services or performance have been
documented as unsatisfactory, whose services or performance have documented problems pending
resolution at DOI, and/or who have been involved in litigation with any federal, state, or governmental
entity may not be considered. Respondent must state below whether during the last three (3) years the
Respondent, including its parent company, any subsidiary, and/or office location, has been involved in
litigation with any federal, state, or governmental entity. (attach additional sheets if necessary)

# 1.4. Respondent Affirmations and Certifications.

**1.4.1. Financial Interests.** Respondent warrants that neither Respondent nor any person or entity that will participate financially in the contract has received compensation from DOI for participation in preparation of the RFP or other specifications for this RFP and any resulting contract. In responding to this RFP, all Respondents must complete the disclosure statement required pursuant to Sections 41-16-80, et seq., Code of Alabama 1975 (Alabama Act 2001-955), which can be accessed from the Attorney General's web site at the following address:

http://www.ago.state.al.us/ag items.cfm?Item=70

A copy of the disclosure statement is also on our website in PDF form, however, directions for completion can only be found on the Attorney General's website.

- **1.4.2. False Statements; Breach of Representations, etc.** By signature to Respondent's Proposal or Response, Respondent makes all the representations, warranties, guarantees, certifications and affirmations included in this RFP. If Respondent signs the Proposal or Response with a false statement or it is subsequently determined that Respondent has violated any of the representations, warranties, guarantees, certifications or affirmations included in this RFP, Respondent will be in default under the contract.
- **1.4.3. Favors.** By signature to Respondent's Proposal or Response, Respondent warrants that it has not given, offered to give, and does not intend to give at any time hereafter, any economic opportunity, future employment, gift, loan, gratuity, special discount, trip, favor or service to any public servant or employee in connection with this RFP and any resulting contract.
- **1.4.4.** Acceptance of Terms. By submitting a response, Respondent warrants that all terms of the contract (Exhibit A) are acceptable.
- **1.4.5. Respondent's Costs.** Respondent will bear all costs and expenses for the provision of services required by this RFP and any resulting contract. All such costs and expenses must be included in the prices detailed in the contract. No other amounts will be paid by the Department.
- **1.4.6. Franchise Taxes; Non Residents; Foreign Corporations.** The Respondent warrants that it is not currently delinquent in the payment of any taxes owed the State of Alabama. If a foreign corporation or other business entity, Respondent certifies that the business entity has made the appropriate filing with the Alabama Secretary of State to be qualified to do business in this state.

**1.5. Evaluation Criteria; Evaluation Committee.** Proposals that are submitted in compliance with this RFP will be evaluated by DOI's Evaluation Committee according to the criteria set out in the table below: These evaluations will result in the selection of a pool of qualified providers.

Criteria for DOI Evaluation of Proposal Responses:		
(1) Experience & References: Strength of skills,		
experience and qualifications of the specific		
individuals who are anticipated to perform the	50 points	
services described in this RFP. References should		
be clear as to the type of work performed. Quality,		
reliability and ability to provide the quality services		
detailed in this RFP.		
(2) Cost: Proposed costs, reasonableness of costs,		
hourly and daily rates and costs assigned to	40 points	
personnel.		
(3) Clarity of proposal: Clarity and content of		
respondent's proposal, including their	10 points	
understanding of the nature of the solicitation, their		
work plan, and their communication skills.		
TOTAL:	100 points	

**1.6. Anticipated Timetable.** DOI currently anticipates that the selection of a qualified firm and execution of the contract will proceed according to the following approximate timetable. DOI reserves the right, in its sole discretion, to revise all deadlines related to this RFP and post notice of these revisions on the DOI website (www.aldoi.gov).

Issuance of Request for Proposals	May 3, 2010
Deadline for Written Questions	May 10, 2010
Answers to Written Questions Posted on DOI Website	May 17, 2010
Deadline for Proposal Responses	June 4, 2010
Contracts executed	June 30, 2010

- 1.7. Contact Persons. All requests for information concerning this RFP prior to bid opening must be directed in writing, by the date and time indicated above, to Kathleen Healey, Associate Counsel, Alabama Department of Insurance, P.O. Box 303351, Montgomery, Alabama 36130, or by facsimile at (334) 240-7581 or by e-mail to Kathleen.Healey@insurance.alabama.gov. Please see Section 1.8 of this RFP concerning RFP questions and DOI's responses. Prior to award, the Respondent or Respondent's Agent may not contact other DOI employees regarding this RFP. Following award, DOI will designate a contract administrator to whom requests for information concerning the RFP or awarded contract should be directed.
- 1.8. Written Questions; DOI's Response. Respondents may submit any questions about the RFP in writing to Kathleen Healey, Associate Counsel, no later than the date and time indicated above, via fax or e-mail. Fax questions should be directed to (334) 240-7581. An e-mail question should be directed to Kathleen.Healey@insurance.alabama.gov. DOI will respond to these written questions in two ways: (1) DOI will fax or e-mail its response to all potential respondents that submit a fax or e-mail request for such a response; and (2) DOI will post its response on the DOI website as an amendment to the RFP posting. DOI will not mail any responses. DOI will make every reasonable effort to post, e-mail and fax responses by close of business on the date indicated above to written questions received by the deadline indicated above. DOI reserves the right, in its sole discretion, to revise all deadlines related to this RFP and post notice of these revisions on the DOI website (www.aldoi.gov).

## Part II. Specifications

- **2.1. General Requirements.** As described in Section 1 of this RFP, DOI is soliciting responses from qualified respondents to provide the DOI professional court reporter services for administrative hearings and depositions. The DOI requires certified court reporter services from experienced professional court reporting firms or individuals. It is expected that the individual or firm proposing to provide the services will have employees or partners possessing the following qualifications:
  - **2.1.1.** Properly licensed by the Alabama Board of Court Reporting as a Certified Court Reporter.
  - **2.1.2.** Demonstrated ability, through experience, in providing quality court reporting services throughout the state of Alabama to cover administrative hearings and depositions.
  - **2.1.3.** Ability to provide quality court reporting services on short notice if needed.
  - **2.1.4.** Ability to provide printed and electronic transcripts in a timely manner.
  - **2.1.5.** Ability to provide a "Travel Transcript," which is merely the original transcript reduced and displayed four pages per page.
  - **2.1.6.** Ability to provide Videographer services upon request.
- **2.2. Description of Services.** Respondents shall expect to provide these services on an as-needed or as-requested basis pursuant to a Contract awarded as a result of this RFP.
  - **2.2.1.** Certified court reporting services for hearings and depositions.
  - **2.2.2.** Written and electronic transcripts of hearings and depositions in a timely manner.
  - **2.2.3.** Expedited delivery of transcripts of hearings and depositions as requested.
  - **2.2.4.** Videographer services upon request for hearings and depositions.
  - **2.2.5**. Other related certified court reporting services as requested.
- **2.3. Additional Requirements.** In addition to the specifications otherwise included in this RFP, Respondent will provide professional services to DOI under a contract in accordance with the terms of this RFP, the bid response, and the following:
  - **2.3.1. Trained Individuals.** Respondent shall provide individuals which have the qualifications, education, experience, training and certifications necessary to provide all services as described in this RFP.

#### Part III. Terms and Conditions

- <u>3.1. Compliance with Requirements.</u> In submitting bids in response to this RFP, all Respondents agree to comply with all requirements of this RFP and any resulting contract.
- <u>3.2. Award of Contract.</u> A response to this RFP is an offer to contract with DOI based upon the terms, conditions and specifications contained in this RFP. Offers and RFP responses do not become part of a

contract or agreement with DOI unless and until they are accepted and agreed to by DOI. DOI reserves the right to make any corrections or include additional requirements in DOI's contract prior to execution that is necessary for DOI's compliance, as an agency of the State of Alabama, with all state and federal requirements.

- **3.3. Rejection of Bids; Open Records; Reissuance.** DOI reserves the right to reject, in its sole discretion, any or all RFP responses and all or any part of any response and waive minor technicalities. DOI will award a contract, if any, to serve the best interests of DOI and the State of Alabama. DOI's waiver of any deviations in any response will not constitute a modification of this RFP and will not preclude DOI from asserting all rights against Respondent for failure to fully comply with all terms and conditions of this RFP. All RFP responses become the property of DOI. DOI may reissue another RFP for the services as described in this RFP or similar services at any time.
- **3.4. Pricing.** All pricing must be submitted in accordance with the Pricing Form included in Part IV of this RFP and must comply with these requirements. Responses subject to price increases will not be considered.
- <u>3.5. Time for Submission.</u> Responses to this RFP must be time stamped by the DOI <u>before</u> the hour and date specified on page 1 of this RFP. Late responses properly identified will be returned to Respondent unopened. Late responses will not be considered under any circumstances. DOI reserves the right to evaluate responses submitted prior to the stated deadline as they are received.
- <u>3.6. DOI's Address.</u> Responses should be addressed to Kathleen Healey, Alabama Department of Insurance. Responses sent by the U.S. Postal Service should use P.O. Box 303351, Montgomery, Alabama 36130-3351. Responses sent by a delivery service, which require a street address, should use 201 Monroe Street, Suite 1700, Montgomery, AL 36104.
- **3.7.** No Alterations or Withdrawals of Bid after Deadline. Responses cannot be altered or amended after the deadline specified on page 1 of this RFP. Any alterations made before this deadline must be initialed by Respondent or Respondent's authorized agent. No responses can be withdrawn after the deadline without approval by the DOI.
- <u>3.8. Facsimile and Other Electronic Response.</u> Facsimile, scanned or other electronic responses to this RFP will not be accepted.
- <u>3.9. Attachments.</u> DOI will not consider any terms and conditions or other documents attached to a response as part of the RFP Response unless the Respondent specifically and prominently refers to each of them on page 1 of the RFP Response. DOI reserves the right, in its sole discretion, to reject any Respondent's terms and conditions or other documents or attachments as part of Respondent's RFP Response.
- 3.10. Unacceptable Terms in Respondent's Response. Any terms submitted by Respondent, regardless of whether such terms conflict with this RFP and/or contract, which conflict with or are in violation of Alabama law, are void regardless of whether DOI accepts such terms or is deemed to have accepted such terms.

Unacceptable terms and conditions included in a Respondent's RFP response may result in disqualification of the response. If an award is made to such a Respondent, such terms and conditions are void and are not part of a contract between Respondent and DOI.

- <u>3.11. Agreement between DOI & Respondent.</u> If DOI makes a contract award as a result of the issuance of this RFP, the entire agreement between DOI and Respondent will consist of the contract executed by DOI and Respondent. This RFP and Respondent's response, subject to the limitations described in this RFP, will be incorporated as part of the executed contract.
- <u>3.12. Conflicting Provisions.</u> Unless expressly authorized by the executed contract by reference to this section, in the event of conflicting terms or provisions between this RFP, the contract, and Respondent's response, the executed contract will control.

#### Part IV. Respondent Proposal/Response Format

- **4.1. Respondent Documents.** All Respondent's documents that are related to the requested services, this RFP, the Bid Responses or the contract, if any, are collectively referred to in this RFP as "Respondent Documents." Responses must include copies of all Respondent documents. DOI reserves the right to reject all Respondent documents that are not included or submitted with RFP Responses. Respondent documents that are not submitted with Respondent's RFP response will not be accepted or considered part of the response or any resulting contract.
- **4.2. Mandatory Response Requirements.** The RFP response/proposal must be responsive to all requirements set forth in this RFP and prepared according to the form described in this RFP.
  - **4.2.1. Signature; Original & 2 copies.** All Bid Responses must be typewritten and legible. All Respondents must sign this RFP in ink in the space provided on page 1 of this RFP. Failure to manually sign the space provided on page 1 of this RFP automatically disqualifies the bid. The person signing Bid Response must show title or authority to bind his or her firm in a contract. Respondents must submit an original bid (with original signature) and two (2) copies. Please note the importance of two identical copies, as the copies will be distributed among the each member of the Evaluation Committee for individual review.
    - **4.2.1.1. Sealed Envelope.** All proposals must be submitted in a sealed envelope.
    - **4.2.1.2. Range of Services.** Responses must specify all ranges of services to be provided. Proposals must specify complete range of professional services that Respondent is qualified to provide and will provide if awarded the contract. Proposals for services that are only partial in nature will be automatically disqualified.
    - **4.2.1.3. Transmittal Letter**. Proposals must be submitted with a transmittal letter signed by an individual with authority to bind the Respondent in a contract with DOI. Proposals must include the Respondent identification number, which is the Federal Employers taxpayer Identification Number. The letter must also include the following statement:

"The undersigned represents that the only person or persons, companies or parties interested in the Proposal as principals are named in this Proposal. This proposal is made without collusion with any other person, persons, company or parties submitting a proposal. This proposal is in all respects fair and in good faith without collusion or fraud. The undersigned has full authority to bind the Respondent in a contract with DOI. This proposal shall remain firm and be valid through the latest date for completion of services under any contract issued as a result of this RFP. Respondent understands that DOI's boilerplate contract and terms and conditions included in the RFP are not negotiable and will not be

revised unless DOI determines, in its sole discretion, that revision would be in the best interests of DOI and the State of Alabama."

- 4.2.2. Fill in All Blanks and Attachments. Unless otherwise indicated, Respondents must complete all of the following blanks and include all required attachments in its Response at the time of the initial submission of the RFP Response to DOI.
  - **4.2.2.1. Respondent's Contact Persons.** Respondent must list the name, title, phone number and fax number of at least two (2) individuals who will be the contact persons for this RFP and who have the authority to respond to questions from DOI.

Name:	
Title:	
Phone Number:	
Fax Number:	
Email:	
Name:	
Title:	
Phone Number:	
Fax Number:	
Email:	
<b>4.2.2.2. References.</b> Respondents must provide a separar Respondent has provided services similar to those reque (2) years. Respondents must include names and telepheach named agency.	ested in this RFP within the last two
(1) Agency:	
Name and Telephone Number of Contact:	
(2) Agency:	
Name and Telephone Number of Contact:	
(3) Agency:	
Name and Telephone Number of Contact:	

Cancellations. Respondents must provide a list of <u>all</u> contracts Respondent executed or accepted for professional services within the last two (2) years and that were

<u>canceled</u> by any state agency prior to completion. For each canceled contract, include a detailed explanation for the cancellation and final resolution of the matter. Include the names and telephone numbers of each such agency's contact individual who has knowledge of the cancellation and the reasons for the cancellation. If none, specify none.

**4.2.2.4 Pricing Form.** Respondents must complete and attach the Pricing Form included in this RFP. The rates must include all costs at all staffing levels Respondent determines might

be necessary to deliver professional services that meet all specifications of this RFP, other than travel costs. Reimbursement of travel mileage expenses will be limited as described in Section 2.7 of this RFP.

DOI will pay no costs or expenses of Respondent in submitting a response to this RFP. DOI will not authorize payments for any costs incurred prior to or for periods prior to the effective date of DOI's contract, if any, resulting from this RFP.

- **4.2.2.5. Conflicts or Potential Conflicts of Interest.** Respondent must provide a statement of any conflicts or potential conflicts of interest of Respondent or Respondent's employees, who will or may provide services under any contract resulting from this RFP. Failure to disclose all conflicts or potential conflicts of interest may result in Respondent being disqualified from Selected Pool and may result in cancellation of the contract. In submitting an RFP response, Respondent certifies that, except as disclosed under the provisions of this section, Respondent possesses the necessary independence in the provision of services sought by DOI under this RFP.
- **4.2.2.6. Other Information.** Respondents may include any information that Respondent considers relevant but not solicited in this RFP.
- **4.2.3. Respondent Identification.** Respondent must provide Federal Employer's Identification Number or, if a sole owner, may enter Social Security Number.
- **4.3. Pricing Form.** All proposals must include a completed pricing form in the format attached. No expenses or other fees may be charged under any contract resulting from this RFP. If any services are provided at no additional charge, please so indicate by entering "N/C" in the price blank. If any service is not provided, please so indicate by entering "N/A" in the price blank.

# Alabama Department of Insurance Pricing Form for Professional Court Reporter Services

**Pricing Form.** Respondents must complete and attach this Pricing Form to any proposal submitted. Bids must include flat amounts for each item specified below, except as otherwise indicated.

Appearance Fee for Administrative Hearings:		Appearance Fee for Depositions:	
One-half Day (4 hours or less)	\$	One-half Day (4 hours or less)	
All Day (4 to 8 hours), per day	\$	All Day (4 to 8 hours), per day	\$
Evenings (after 5 PM, up to 4 hours)	\$	Evenings (after 5 PM, up to 4 hours)	\$
Out of Town (additional fee charged for		Out of Town (additional fee charged for	
hearings held outside 30-mile radius of		depositions held outside 30-mile radius	
Montgomery, other than transportation		of Montgomery, other than	
and per diem expenses, see Note 1		transportation and per diem expenses,	
below)	\$	see Note 1 below)	\$
Hearing Transcripts:		Deposition Transcripts:	
Standard Rate (Delivery in 6 to 14 days):		Standard Rate (Delivery in 6 to 14 days):	
Original & 1 copy, per page	\$	Original & 1 copy, per page	\$
Additional copies, per page			
Expedited Rates (Delivery in less than 6 days)		Expedited Rates (Delivery in less than 6 days):	
Same day delivery:	·•	Same day delivery:	
Original & 1 copy, per page	\$		\$
Additional copies, per page			
Next day delivery:	Ψ	Next day delivery:	Ψ
Original & 1 copy, per page	\$		\$
Additional copies, per page			
2 day delivery:	Ψ	2 day delivery:	Ψ
Original & 1 copy, per page	\$		\$
Additional copies, per page			
3 day delivery:	Ψ	3 day delivery:	Ψ
Original & 1 copy, per page	\$		\$
Additional copies, per page			
4 day delivery:	Ψ	4 day delivery:	Ψ
Original & 1 copy, per page	\$		¢
Additional copies, per page			
5 day delivery:	Ψ	5 day delivery:	Ψ
Original & 1 copy, per page	¢		¢
Additional copies, per page			
Writing fee (if no transcript is ordered, per	ψ	Writing fee (if no transcript is ordered, per	Ψ
hour)	¢		¢
riour)	Ψ	110ur)	Ψ
Prices Ap Copies of Exhibits, per page:	plicable t	o Hearings and Depositions:	
		\$	
		\$	
		CD)\$	
		script reduced to display 4 pages per page)\$	
		\$	
Videographer:		Ψ.	
Appearance fee (per hour minimum two	o hours)	\$	
Original DVD/CD	o nours)	\$	
		\$	
		\$	
vidos, rokt cyrioriiciiization (r di DVD)		Ψ.	

Note 1. Transportation and per diem in lieu of reimbursement for actual expenses for intrastate and interstate travel paid the same as for classified employees of the State of Alabama.

Note 2. No separate charge for postage, shipping and handling. These costs are to be absorbed within other fees listed above.