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**BULLETIN NO. 2021-07**

TO: All Alabama Domestic Insurer

FROM: Jim L. Ridling  
Commissioner of Insurance *JLR*

DATE: July 29, 2021

EFFECTIVE: October 1, 2021

RE: Filing Procedures and Fees under Insurance  
Holding Company System Regulatory Act

Rescinds: Bulletin No. 2010-02, dated April 2, 2010

1. Effective October 1, 2021, Bulletin No. 2010-02 dated April 2, 2010, is rescinded and replaced by this Bulletin.

2. The Alabama Insurance Holding Company System Regulatory Act (Chapter 29, Title 27, Code of Alabama 1975) requires or authorizes certain filings with this department. The following fees are hereby required for the various holding company forms and filings, made through the Department of Insurance, **Legal Division**, as follows:

- (a) Form A - Statement Regarding the Acquisition of Control or Merger with a Domestic Insurer [§27-29-3] ..... \$2,500
- (b) Form A Amendment ..... \$1,250
- (c) Form A Exemption [§27-29-3(e)(2)] ..... \$1,250
- (d) Disclaimer of Affiliation [§27-29-4(k)] ..... \$1,250
- (e) Joint Filing of Disclaimer of Affiliation and Form A Exemption ..... \$1,250

3. **These Legal Division fees are to be submitted electronically and contemporaneous with each filing.** For detailed information relative to the electronic filings, please visit the Department's Website: <https://appengine.egov.com/apps/al/aldoi/legal/feepayments>

4. Electronic submissions should be contained in a single PDF file. If any of the documents within a filing are to be given confidential treatment, it is recommended that those documents be omitted from the electronic filing, substituting a single page for each confidential document identifying the document and indicating that it will be submitted separately under seal. In lieu of the requirement to submit three complete copies, as provided in paragraph (2) of Rule 482-1-055-.04, applicants that have filed electronically need only submit the original document, along with one complete electronic copy. Any items requested to be held as confidential should be clearly identified.

5. In addition to the fee indicated above for Form A, the applicant will also be responsible for paying the expense incurred in having a court reporter present for the hearing and for preparing a transcript of the hearing. A separate invoice will be issued to the applicant when this is to be paid.

6. Attorneys are requested to include their Alabama State Bar identification number on all filings. An attorney not licensed in Alabama may appear as counsel Pro Hac Vice or Authorized House Counsel by complying with Rule VII of the Rules Governing Admission to the Alabama State Bar. For information, please visit the Bar's Website: <https://www.alabar.org/admissions/>

7. Additionally, certain filings under the Alabama Insurance Holding Company System Regulatory Act are made through the Examination Division. The following fees are hereby required for the various holding company forms and filings, made through the Department of Insurance, **Examination Division**, as follows:

(a) Form B - Annual Registration Statement [§27-29-4] .....	\$650
(b) Form B Exemption [§27-29-4(j)] .....	\$200
(c) Form C - Summary of Changes to Registration [§27-29-4(c)] .....	\$650
(d) Form D - Prior Notice of a Transaction [§27-29-5(b)] .....	\$650
(e) Form E - Pre-Acquisition Notification [§27-29-3.1] .....	\$250
(f) Form E Exemption [§27-29-3.1(b)(2)] .....	\$100
(g) Form F - Enterprise Risk Report [§27-29-4(l)(1)] .....	\$250

8. These Examination Division fees are to be submitted contemporaneous with each filing. For detailed information relative to the submission of payment, please visit the Department's Website: [www.aldoi.gov](http://www.aldoi.gov)